

# 2013-2016 US SAILING PRESCRIPTIONS

## TABLE OF CONTENTS Add in the list of appendices

*R Procedures for Appeals and Requests*

*S Sound-Signal Starting System*

*T Alternative Procedures for Dispute Resolution*

## INTRODUCTION Add as a new last paragraph in the Introduction:

*Prescriptions US Sailing prescriptions are printed in bold italics, except Appendices R, S and T. Those three appendices are US Sailing prescriptions.*

## EQUAL OPPORTUNITY Add at the end of the Introduction

### ***Equal Opportunity***

*As the national authority for the sport of sailing, US Sailing is committed to providing an equal opportunity to all sailors to participate in the sport of sailing.*

## Rule 34 Change rule 34 title to: **MARK MISSING; RACE COMMITTEE ABSENT**

After rule 34 add

*US Sailing prescribes that, if a finishing mark is missing but another one remains in place, the finishing line is a line through the remaining mark at a 90° angle to the last leg and of the shortest practicable length. If the race committee is absent when a boat finishes, she should report to the race committee her finishing time and her position in relation to nearby boats at the first reasonable opportunity.*

## Rule 40 Change rule 40 title to: **PERSONAL FLOTATION DEVICES; LIFE-SAVING EQUIPMENT**

After rule 40 add

*US Sailing prescribes that every boat shall carry life-saving equipment conforming to government regulations that apply in the racing area. Go to [ussailing.org/racingrules/documents](http://ussailing.org/racingrules/documents) and click the 'PFD' link for more information.*

## Rule 48.1 After rule 48.1 add

*US Sailing prescribes that the use of additional special-purpose lights such as spreader, sail-trim and masthead wind-indicator lights shall not constitute a breach of this rule.*

## Rule 56 Add rule 56

### **56      *FLAGS***

*US Sailing prescribes that a boat shall not display flags except for signaling. A boat shall not be penalized for breaking this rule without prior warning and opportunity to make correction.*

**Rule 60** After rule 60 add

*US Sailing prescribes that when redress has been requested or is to be considered, any boat may participate in the hearing provided she makes a written request before the hearing begins. When she does so, the protest committee shall act under rule 60.3(b) to consider redress for her at that hearing.*

**Rule 61.4** Add rule 61.4

**61.4 Fees for Protests and Requests for Redress**

*US Sailing prescribes that no fees shall be charged for protests or requests for redress.*

**Rule 63.2** After rule 63.2 add

*US Sailing prescribes that when redress has been requested or is to be considered, the protest committee shall make a reasonable attempt to notify all boats of the time and place of the hearing and the nature of the request or the grounds for considering redress. Before holding the hearing, the committee shall allow reasonable time for boats to make written requests to participate.*

**Rule 63.4** After rule 63.4 add

*US Sailing prescribes that when practicable:*

- (a) no person who brings an incident to the attention of the protest committee or who will give evidence regarding an incident shall be a member of the protest committee for a hearing involving that incident; and*
- (b) a request for redress based on a protest committee decision shall be heard by a committee that contains no members of the committee that made the original decision.*

**Rule 64.3(b)** After rule 64.3(b) add

*US Sailing prescribes that the authority responsible for interpreting the rules of a handicap or rating system is the organization that issued the handicap or the rating certificate involved.*

**Rule 68** After rule 68 add

*US Sailing prescribes that:*

- (a) A boat that retires from a race or accepts a penalty does not, by that action alone, admit liability for damages.*
- (b) A protest committee shall find facts and make decisions only in compliance with the rules. No protest committee or US Sailing appeal authority shall adjudicate any claim for damages. Such a claim is subject to the jurisdiction of the courts.*
- (c) A basic purpose of the rules is to prevent contact between boats. By participating in an event governed by the rules, a boat agrees that responsibility for damages arising from any breach of the rules shall be based on fault as determined by application of the rules, and that she shall not be governed by the legal doctrine of 'assumption of risk' for monetary damages resulting from contact with other boats.*

**Rule 70.5(a)** After rule 70.5(a) add

*US Sailing prescribes that its approval is required. Go to [ussailing.org/racingrules/documents](http://ussailing.org/racingrules/documents) and click the 'No Appeal' link for more information or to obtain approval.*

**Rule 76.1** After rule 76.1 add

*US Sailing prescribes that an organizing authority or race committee shall not reject or cancel the entry of a boat or exclude a competitor eligible under the notice of race and sailing instructions for an arbitrary or capricious reason or for reason of race, color, religion, national origin, gender, sexual orientation, or age.*

**Rule 82** Add rule 82

**82 INDEMNIFICATION OR HOLD HARMLESS AGREEMENTS**

*US Sailing prescribes that the organizing authority shall not require a competitor to assume any liabilities of the organizing authority, race committee, protest committee, host club, sponsors, or any other organization or official involved with the event. (This is commonly referred to as an 'indemnification' or 'hold harmless' agreement.) Go to [ussailing.org/racingrules/documents](http://ussailing.org/racingrules/documents) and click the 'Indemnification' link for more information.*

**Rule 86.3** After rule 86.3 add

*US Sailing prescribes that proposed rules may be tested, but only in local races. However, proposed rules may also be tested at other events if, for each event, the organizing authority first obtains written permission from US Sailing and the proposed rules are included in the notice of race and sailing instructions.*

**Rule 88.2** After rule 88.2 add

*US Sailing prescribes that sailing instructions shall not change or delete rule 61.4, Appendix R, or its prescriptions to rules 40, 68 or 76.1. However, for an international event the prescription to rule 40 may be deleted.*

**Appendix A** At the end add the following note:

*US Sailing Note on Scoring a Long Series: The scoring systems in Appendix A may be inappropriate for a long series, such as a club's season championship held over several weeks or months, in which some boats do not compete in all of the races and in which more boats compete in some races than in others. Go to [ussailing.org/racingrules/documents](http://ussailing.org/racingrules/documents) and click the 'Scoring a Long Series' link for an explanation of the scoring problems that occur in such series, alternative scoring systems, and language for sailing instructions to implement them.*

**Appendix G** After rule G2 add

*US Sailing prescribes that unless otherwise stated in her class rules, the sails of a boat that is not in an ISAF International Class or Recognized Class shall comply with rule G1. However, offshore racing boats not in a class that is subject to rule G1 shall carry numbers allotted by US Sailing on mainsails, spinnakers and each overlapping headsail having a luff-perpendicular measurement exceeding 130% of the base of the foretriangle. This rule applies only to a boat whose owner's national authority is US Sailing. Go to [ussailing.org/racingrules/documents](http://ussailing.org/racingrules/documents) and click the 'Sail Numbers' link for the full text of the Sail Numbering System for offshore racing boats in the United States and for an application for a sail number.*

**Appendix L** At the end of the preamble to Appendix L add

*US Sailing Note: US Sailing has produced a guide to simplified sailing instructions suitable for events such as club or local regattas. Go to [ussailing.org/racingrules/documents](http://ussailing.org/racingrules/documents) and click the 'Simple SIs' link to read and download this guide.*

**Appendix L** Add Instruction 5.6

<i>This is a US Sailing prescription.</i>	<i>5.6</i>	<i>Flag A displayed, with no sound, while boats are finishing means 'No more racing today.'</i>
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**Appendix L** Add Instruction 16.10

<i>This is a US Sailing prescription.</i>	<i>16.10</i>	<i>If the race committee posts a list of boats scored OCS, ZFP or BFD on the official notice board before the protest time limit, a request for redress based on such a posted score shall be made no later than one hour after the protest time limit. This changes rule 62.2.</i>
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**Appendix R, Procedures for Appeals and Requests** Replace ISAF Appendix R with **Appendix R** [see Appendix R below]

**Appendix S** After Appendix R add **Appendix S** [see Appendix S below].

**Appendix T** After Appendix S add **Appendix T** [see Appendix T below].

**Protest Form** Replace the ISAF Protest Form with the US Sailing Protest Form.

**INDEX** At end of introductory paragraph add

*US Sailing prescriptions are not included in the Index.*

## ***APPENDIX R – Procedures for Appeals and Requests***

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*This appendix is a US Sailing prescription.*

*See rules 70 and 71. This appendix replaces Appendix R as adopted by the International Sailing Federation for the purpose of creating a two-level appeals system. The US Sailing Appeals Committee acts as the national authority under rule 71. An association appeals committee may act as permitted by rule 71.2 and shall act as required by rule 71.3, subject to further appeal as provided in rule R7.1(a).*

*Frequently Asked Questions (FAQ) on the appeals system and their answers, including advice on how to prepare an appeal, can be found on the US Sailing website. Go to [ussailing.org/racingrules/documents](http://ussailing.org/racingrules/documents) and click the 'Appeals FAQ' link.*

### **R1 WHERE TO SEND AN APPEAL OR REQUEST**

- R1.1** All appeals and requests shall be sent to the Race Administration Director at US Sailing, at either P.O. Box 1260 or 15 Maritime Drive, Portsmouth, RI 02871, or by e-mail to [RaceAdmin@ussailing.org](mailto:RaceAdmin@ussailing.org).
- R1.2** Except as provided in rule R1.4, the director will forward an appeal of a decision of a protest committee or a request by a protest committee for confirmation or correction of its decision to the association appeals committee for the place in which the event was held. However, such an appeal or request arising from an event conducted under the procedural rules of the Intercollegiate Sailing Association or the Interscholastic Sailing Association will be forwarded to the association appeals committee for the ICSA and ISSA.
- R1.3** The director will forward an appeal of a decision of an association appeals committee, a request by an association appeals committee for confirmation or correction of its decision, and a request for an interpretation of *rules* to the US Sailing Appeals Committee.
- R1.4** The director will forward an appeal of a decision of a protest committee acting under rule 69.1, an appeal of a decision of a protest committee of a US Sailing national championship, and a request by such a committee for confirmation or correction of its decision to the US Sailing Appeals Committee.

### **R2 TO APPEAL OR MAKE A REQUEST**

- R2.1** To appeal,
- (a) no later than 15 days after receiving the written decision being appealed or a protest committee's decision not to reopen a hearing, the appellant shall send an appeal and a copy of the decision to US Sailing. The appeal shall state why the appellant believes the committee's decision or its procedures were incorrect;

- (b) when the hearing required by rule 63.1 has not been held within 30 days after a *protest* or request for redress was delivered, the appellant shall, within a further 15 days, send an appeal with a copy of the *protest* or request and any relevant correspondence. The appeals committee to which the appeal is forwarded shall extend the time if there is good reason to do so; or
- (c) when the protest committee fails to comply with rule 65, the appellant shall, within a reasonable time after the hearing, send an appeal with a copy of the *protest* or request and any relevant correspondence.

If a copy of the *protest* or request is not available, the appellant shall instead send a statement of its substance.

**R2.2** The appellant shall also send, with the appeal or as soon as possible thereafter, all of the following documents and information available:

- (a) the written *protest(s)* or request(s) for redress;
- (b) if the appeal is from a decision of an association appeals committee, the written decision of the protest committee and the appeal to the association appeals committee;
- (c) a diagram, prepared or endorsed by the protest committee, that shows
  - (1) the positions of all boats involved at relevant times, and their tracks;
  - (2) the course to the next *mark* and its required side;
  - (3) the speed and direction of the wind;
  - (4) any relevant *mark, obstruction* or *zone*; and
  - (5) if relevant, the depth of the water and the speed and direction of any current;
- (d) the notice of race, sailing instructions, any other documents governing the event, and any changes to them;
- (e) the names, mailing addresses and e-mail addresses of the *parties* to the hearing, the chairman of the protest committee and, if relevant, the chairman of the association appeals committee; and
- (f) any other relevant documents.

**R2.3** To request confirmation or correction of its decision, a protest committee or association appeals committee shall send to US Sailing a copy of its decision and all relevant documents and comments (see rule R2.2).

**R2.4** To request an interpretation of the *rules*, a club or other organization affiliated to US Sailing shall send its request to US Sailing. The request shall include assumed facts and be endorsed by an officer of the club or organization. A US Sailing committee is considered to be an organization affiliated to US Sailing.

### **R3 FEES**

- R3.1** If the appeal or request is being made to an association appeals committee (see rule R1.2), US Sailing charges no fee for forwarding that appeal or request. However, an association appeals committee may charge a fee, in which case the association appeals committee will send a notice to the appellant (or, for a request, to the protest committee) stating the fee, to whom the fee is payable, and the address to which the fee must be sent.
- R3.2** If the appeal is being made to the US Sailing Appeals Committee (see rules R1.3 and R1.4) by a member of US Sailing or another national authority, US Sailing charges a fee of \$25. The fee is \$75 for all others.
- R3.3** A fee of \$25 is charged for a request for an interpretation of the rules, but there is no fee for such a request from a US Sailing committee. There is no fee for a request from an association appeals committee for confirmation or correction of its decision.
- R3.4** If a fee is required for an appeal or request, it must be received before the appeal or request will be considered. For appeals and requests made to the US Sailing Appeals Committee, the fee can be paid by check to “US Sailing” or electronically as described in the Appeals FAQ.

### **R4 NOTIFICATION OF THE COMMITTEE WHOSE DECISION IS BEING APPEALED**

Upon receipt of an appeal, the appeals committee shall send a copy of the appeal to the committee whose decision is being appealed, asking it for any documents required by rule R2.2 not supplied by the appellant.

### **R5 COMMITTEE RESPONSIBILITIES**

#### **R5.1 Protest Committee**

A protest committee whose decision is being appealed shall supply the documents requested under rule R4 and any facts or other information requested under rule R5.4. If directed to do so by the appeals committee, it shall conduct a hearing, or reopen the hearing, of the *protest* or request for redress, or conduct a hearing to consider redress.

#### **R5.2 Association Appeals Committee**

- (a) The association appeals committee shall send to all *parties* to the hearing, and to the committee whose decision is being appealed or reviewed, copies of all relevant documents, comments and clarifications it has received, except those supplied by that *party* or committee.
- (b) The association appeals committee shall send its decision in writing to all *parties* to the hearing and the protest committee.
- (c) An association appeals committee shall consider an appeal it has refused to decide if directed to do so by the US Sailing Appeals Committee.

### **R5.3 US Sailing Appeals Committee**

The US Sailing Appeals Committee shall send to all *parties* to the hearing, to the protest committee and to the association appeals committee whose decision is being appealed or reviewed, copies of all relevant documents, comments and clarifications it has received, except those supplied by that *party* or committee.

### **R5.4 Inadequate Facts; Reopening**

An appeals committee shall accept the protest committee's finding of facts except when it decides they are inadequate. In that case it shall require the protest committee to provide additional facts or other information, or to reopen the hearing and report any new finding of facts, and the protest committee shall promptly do so.

## **R6 COMMENTS**

The *parties* to the hearing, the protest committee and, if relevant, the association appeals committee may make comments on the appeal or request, on any of the documents listed in rule R2.2, and on any clarifications received under rule R7.2(d). Comments shall be sent in writing to the appeals committee no later than 15 days after the *party* or committee receives the document. The appeals committee need not consider comments sent after that time or comments on comments.

## **R7 PROVISIONS IN ADDITION TO THOSE OF RULES 70 AND 71**

### **R7.1 Right to Appeal or Request Confirmation or Correction**

- (a) A *party* to a hearing may appeal an association appeals committee's decision.
- (b) An association appeals committee may request confirmation or correction of its decision (see rule R2.3).

### **R7.2 Other Provisions**

- (a) No member of the association appeals committee shall take part in the discussion or decision on an appeal or a request for confirmation or correction to the US Sailing Appeals Committee.
- (b) An appeals committee may direct a protest committee to conduct a hearing to consider redress for an appellant or other boats.
- (c) The US Sailing Appeals Committee may direct an association appeals committee to consider an appeal it has refused to decide.
- (d) An appeals committee may seek clarifications of rules governing the event from organizations that are not *parties* to the hearing.



**R8 EXPEDITED APPEALS**

An expedited appeals system, which can only be used at US Sailing Protected Competitions (see US Sailing Regulation 12.03), can be found on the US Sailing website. Go to [ussailing.org/racingrules/documents](https://ussailing.org/racingrules/documents) and click the 'Expedited Appeals' link.

## ***APPENDIX S – SOUND-SIGNAL STARTING SYSTEM***

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*This appendix is a US Sailing prescription.*

*US Sailing prescribes that, when the sailing instructions so indicate, the Sound-Signal Starting System described below shall be used. This system is recommended primarily for small-boat racing and makes it unnecessary for competitors to use stopwatches. Supplemental visual course and recall signals are also recommended when practicable.*

- S1** Course and postponement signals may be made orally.
- S2** Audible signals shall govern, even when supplemental visual signals are also used.
- S3** The starting sequence shall consist of the following sound signals made at the indicated times:

<i>Signal</i>	<i>Sound</i>	<i>Time before start</i>
Warning	3 long	3 minutes
Preparatory	2 long	2 minutes
	1 long, 3 short	1 minute, 30 seconds
	1 long	1 minute
	3 short	30 seconds
	2 short	20 seconds
	1 short	10 seconds
	1 short	5 seconds
	1 short	4 seconds
	1 short	3 seconds
	1 short	2 seconds
Starting	1 short	1 second
	1 long	0

- S4** Signals shall be timed from their commencement.
- S5** A series of short signals may be made before the sequence begins in order to attract attention.
- S6** Individual recalls shall be signalled by the hail of the sail number (or some other clearly distinguishing feature) of each recalled boat. Flag X need not be displayed.
- S7** Failure of a competitor to hear an adequate course, postponement, starting sequence or recall signal shall not be grounds for redress.

# ***APPENDIX T – ALTERNATIVE PROCEDURES FOR DISPUTE RESOLUTION***

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*This appendix is a US Sailing prescription. One or more sections of this appendix apply only if the sailing instructions so state.*

*The rules in this appendix are intended to improve compliance with the Basic Principle, Sportsmanship and the Rules, and may be used for fleets of boats in major or minor events.*

*Sections A and B provide alternative penalties that encourage competitors to take a penalty when they may have broken a rule of Part 2 or rule 31. They can be used together or individually.*

*Sections C and D each provide a modified hearing procedure that is less formal and less time-consuming than the usual hearing procedure. They are designed to encourage boats to enforce the rules by protesting. Sections C and D are not designed to be used at the same event, but either Section C or Section D may be used with Section A or B, or with both. Note however that, if Section D is used, Section B automatically applies.*

*Please report your experiences with and evaluations of these rules to US Sailing by sending an e-mail to [rules@ussailing.org](mailto:rules@ussailing.org).*

## **SECTION A**

### **PENALTIES WHILE RACING**

*If Section A applies, rule T1 shall be included in the sailing instructions.*

#### **T1 PENALTIES AT THE TIME OF THE INCIDENT**

The first two sentences of rule 44.1 are changed to: ‘A boat may take a One-Turn Penalty when she may have broken a rule of Part 2 or rule 31 while *racing*. However, when she may have broken a rule of Part 2 while in the *zone* around a *mark* other than a starting *mark*, her penalty shall be a Two-Turns Penalty.’

## **SECTION B**

### **POST-RACE PENALTIES**

#### **T2 PENALTIES TAKEN AFTER A RACE**

- T2.1** After a race, a boat that may have broken a rule of Part 2 or rule 31 while *racing* may take a Post-Race Penalty for that incident. The penalty shall be a Scoring Penalty, calculated as stated in rule 44.3(c). However, rules 44.1(a) and (b) apply. A boat takes a Post-Race Penalty by informing the race committee in writing and identifying the race number and when and where the incident occurred.
- T2.2** The Post-Race Penalty shall be
- (a) 20%, if taken before the protest time limit, or
  - (b) 30%, if taken after the protest time limit but before the beginning of a hearing involving the incident.

## **SECTION C**

### **EXPEDITED HEARINGS**

#### **T3 INFORMING THE RACE COMMITTEE**

A boat intending to protest or request redress based on an incident in the racing area that she is involved in or sees shall, at the first reasonable opportunity after she *finishes*, inform the race committee at the finishing line of her intent to protest or request redress and, when applicable, the identity of the protestee.

#### **T4 CHANGES IN HEARING PROCEDURES**

*This rule applies to all hearings except hearings under rule 69.*

- T4.1** If the protest committee is able to assemble the *parties* to a hearing before the protest or redress time limit, it may begin the hearing and may waive the requirements of rule 61.2 or 62.2.
- T4.2** The US Sailing prescriptions to rules 60, 63.2 and 63.4 are deleted.

- T4.3** Rule 63.5 is changed to: ‘At the beginning of the hearing, if there is no objection, the *protest* or request is valid and the hearing shall be continued. If an objection is made, the protest committee shall take any evidence . . . [*no further change*].’
- T4.4** Insert a new sentence after the third sentence of rule 63.6: ‘However, the committee may limit the number of witnesses and the time during which *parties* may present evidence and ask questions.’
- T4.5** The first sentence of rule 65.2 is changed to: ‘A *party* to the hearing is entitled to receive the above information in writing, provided she asks the protest committee for it no later than thirty minutes after being informed of the decision or coming ashore following the last race of the day, whichever is later.’
- T4.6** The third sentence of rule 66 is changed to: ‘A *party* to the hearing may not ask for a reopening.’

## **SECTION D**

### **ARBITRATION**

*When Section D applies, a boat may take the applicable Post-Race Penalty in Section B without participating in an arbitration meeting.*

#### **T5 PROTEST ARBITRATION**

- T5.1** An arbitration meeting will be held prior to a protest hearing for each incident resulting in a *protest* by a boat involving a rule of Part 2 or rule 31, but only if each *party* is represented by a person who was on board at the time of the incident. No witnesses will be permitted. However, if the arbitrator decides that rule 44.1(b) may apply or that arbitration is not appropriate, the meeting will not be held, and if a meeting is in progress, it will be closed.
- T5.2** Based on the evidence given by the representatives, the arbitrator will offer an opinion as to what the protest committee is likely to decide:
- (a) the *protest* is invalid,

- (b) no boat will be penalized for breaking a rule, or
- (c) one or more boats will be penalized for breaking a rule, identifying the boats and the penalties.

**T5.3** A boat that may have broken a rule may take a Post-Race Penalty as provided in Section B. However, the penalty in rule T2.2(a) is available only until the protest time limit or until the beginning of the arbitration meeting, whichever is earlier. During a meeting, a boat may take a penalty by acknowledging her acceptance of the penalty in writing.

**T5.4** If a boat asks to withdraw her *protest*, the arbitrator may act on behalf of the protest committee in accordance with rule 63.1 to accept the withdrawal.